



CRR-G-036-A

Guideline for the Safety Assessment of New Rolling Stock for a heritage, museum or tourist railway that operates on its own network, including workshops, vehicles and staff

Issue	Prepared by	Reviewed by	Approved by	Date
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Introduction

The Railway Safety Act 2005 in conjunction with S.I. 444 of 2013 obliges railway organisations to submit a 'Safety assessment of new rolling stock' to the Commission for Rail Regulation (CRR) before the bringing into use of same, (Sections 43). This Guideline describes what should be contained in an assessment, the process for making a submission and the assessment process.

This Guideline is applicable to a heritage, museum or tourist railway that operates on its own network, including workshops, vehicles and staff.

1. What Should Be Contained in Such Safety Assessments?

- i. Appendix 1 to this guideline must be completed by the applicant. All supporting documents must be provided as part of the application;
- ii. A statement from the railway accepting the proposed designs should be included in the submission;
- iii. Safety validation by competent third parties, if requested by the CRR;
- iv. Details of the Heritage Railway's Safety Management System including any changes to the accepted Safety Management System as a result of bringing the new rolling stock into service.

2. How to Make a Submission

The Applicant should ensure the timely submission of assessments. The time required for consideration of any proposal will depend on its complexity. Where novel technology or systems are proposed, the scheme should be discussed at an early stage with the RSC.

Development of Submission

To prevent issues arising at an untimely stage of the project, which could lead to increased cost and delays for the railway, submissions should be made at the earliest possible stage.

3. On Site Inspections by CRR

Factory and testing inspections may be carried out as deemed necessary by the CRR. The CRR is to be kept informed of the progress of manufacture of rolling stock and is to be notified in advance of all testing to be carried out as an inspector may choose to carry out an inspection.

4. Approval

The CRR will issue a Letter of Acceptance for the rolling stock when all items listed in 1 above have been addressed to the satisfaction of the CRR.

5. Further Clarification

Further clarification can be sought from the CRR.

List of Appendices

Appendix 1 – Checklist containing List of Parameters